

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER 37 CFR 52, 53, and/or 85

re Application of: Fung-Jou Chen, et al.

rial No.: 10/743,261

led: December 22, 2003

nfirmination No.: 4458

file: Mop with Disposable Wipe and Squeegee Blade

mmisioner for Patents

S. Patent and Trademark Office

st Office Box 1450

exandria, VA 22313-1450



Attention: Mail Stop Missing Parts

Date: June 16, 2004

Attorney Docket No.: KCX-769 (19909)

Group Art Unit: 1744

Our Customer ID: 22827

Our Account No.: 04-1403

e following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

Rule 53 signed Declaration enclosed.

This is a Rule 47 filing per attached Petition.

[ ] Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.

Specification originally filed in non-English language; hence verified translation attached of:

Abstract [ ] pages of Specification (only spec. & claims) [ ] Drawing Figs.

sheet(s) of formal drawings enclosed: size: [ ] A4 [ ] 14" [ ] 8 1/2 by 11

[ ] Attached is an assignment to Kimberly Clark Worldwide, Inc.

Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).

, filed in on , respectively.

Certified copy (copies) [ ] attached; [ ] already filed on in U.S. Application, Serial No.

, filed

"Small Entity" status under Rule 27 claimed. [ ] Attached: Verified Statement(s) establishing "small entity."

Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.

Attached:

Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

**PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee	\$	770.00
Total Effective Claims 44 - 20 = 24 x \$18.00	=	\$ 432.00
Independent Claims 3 - 3 = 0 x \$86.00	=	\$ .00
If any proper multiple dependent claim (ignore improper) is present, add \$290.00	\$	.00
Filing Fee	\$	.00

ce PTO Notice under Rule 52, 53, and/or 85 set an original due date of

ition is hereby made for an extension to cover the date this response is filed for which the

uisite fee is enclosed (1 month \$110; 2 months \$420; 3 months \$950; 4 months \$1480).

\$ .00

is is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130)

\$ 130.00

Subtotal

\$ .00

small entity" status box above is X'd, enter half (1/2) of subtotal & subtract

\$ .00

=

\$ 1332.00

non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00)

\$ .00

assignment" box is X'd, add recording fee (\$40.00).

\$ 40.00

Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h).

\$ .00

**TOTAL FEE ENCLOSED**

\$ 1372.00

Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to

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after, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application

the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading

eof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the

fe fee in this case.

DRESS:

DORITY & MANNING, ATTORNEYS AT LAW, P.A.

t Office Box 1449

enville, SC 29602

By: Neal P. Pierotti Reg. No. 45,716 Date: June 16, 2004

omer ID No.: 22827

phone: 864-271-1592 / Facsimile: 864-233-7342

Signature: Neal P. Pierotti

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xandria, VA 22313-1450, on June 16, 2004.

Denise Bulkeley

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(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-769 (19909)	Serial Number: 10/743,261
	Applicant: Fung-Jou Chen, et al.	
	Filing Date: December 22, 2003 Confirmation No: 4458	Group Art Unit: 1744

- NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]
- (1) This item is cumulative, per Rule 98(c)
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  - (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE

FOREIGN PATENT DOCUMENTS										
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE			
				YES	NO	N/A				

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	
	U.S. Patent Application No.10/733,162 filed 12/11/03 (KCX-651)	
	U.S. Patent Application No.10/733,169 filed 12/11/03 (KCX-652)	
	U.S. Patent Application No. 10/743,556 filed 12/22/03 (KCX-767)	
	U.S. Patent Application No.10/739,530 filed 12/18/03 (KCX-768)	
	U.S. Patent Application No. 10/744,238 filed 12/22/03 (KCX-795)	
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		



ATTORNEY DOCKET NO.: KCX-769 (19909)  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DM-10/03

Application of: Fung-Jou Chen, et al.	)	Group Art Unit: 1744
	)	
al No.: 10/743,261	)	Examiner: Unknown
	)	
d: December 22, 2003	)	Our Account No.: 04-1403
	)	
firmation No.: 4458	)	Customer No. 22827
	)	
:: Mop with Disposable Wipe and Squeegee Blade	)	

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Alexandria, VA 22313-1450

Following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.97, and 1.98.

Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 2 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):  
10 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items:

☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☒ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i. ☐ Certification per Rule 97(e); OR
- ii. ☐ Filing Fee per Rule 17(p) .....\$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p) .....\$180.00

Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☐ That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable

inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_ Signature: \_\_\_\_\_  
Address: \_\_\_\_\_ Date: \_\_\_\_\_

1.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

2.[x] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

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(Signature of person mailing paper or fee)

ADDRESS:

DORITY & MANNING, P.A.

Post Office Box 1449  
Greenville, South Carolina 29602  
Telephone: 864-271-1592  
Facsimile: 864-233-7342

By: Neal P. Pierotti

Reg. No: 45,716

Signature: Neal P. Pierotti

Date: June 16, 2004

(Rev. 5/92)  <b>Information Disclosure Statement List</b>  <b>By Applicant(s)</b>  <b>Under 37 CFR Section 1.98(a) (1)</b>  <b>(Use several sheets if necessary)</b>	<b>Attorney Docket Number:</b>  KCX-769 (19909)	<b>Serial Number:</b>  10/743,261
	<b>Applicant:</b>  Fung-Jou Chen, et al.	
	<b>Filing Date:</b>  December 22, 2003  <b>Confirmation No:</b>  4458	<b>Group Art Unit:</b>  1744

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- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
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USSN \_\_\_\_\_, filed \_\_\_\_\_;
- Relied on under 35 U.S.C. Section 120, per Rule 98(d)
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- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
		Footer, et al.	DES	4	1	7	9	3	4	12/21/1999	5
✓		Spencer, et al.	3	0	9	3	6	0	0	06/11/1963	5
		Tames	4	5	2	3	3	4	7	01/18/1985	5
		Woerner, et al.	4	6	6	6	9	4	8	05/19/1987	5
		Krajicek	4	8	5	2	2	1	0	08/01/1989	5
		Jannicelli, Jr.	6	3	5	3	9	6	0	03/12/2002	5
		Cioci	6	4	8	7	7	4	6	12/03/2002	5
		Horii, et al.	6	5	0	3	6	1	5	01/07/2003	5
		Kosaka, et al.	6	6	0	8	1	1	8	08/19/2003	5

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER								PUBLICATION DATE	TRANSLATION			COPY NOTE
												YES	NO	N/A	
		GB	1	4	4	3	0	2	4		21.7.1976				
		WO	0	1	4	9	7	7	6		12.7.2001				

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EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	
	Kelly Autumn, et al., Evidence for vander Waals Adhesion in Gecko Setae," Proceedings of the National Academy of Science of the United states of America, Vol. 99, No. 19; pp. 12,252-12,256 (Sept. 17, 2000)	
	H. Mahnke, et al. in EP 0017671, published Dec. 17, 1979	ABS
	<a href="http://www.homemadesimple.com/brands/gli_swiffer_power_mop.shtml">http://www.homemadesimple.com/brands/gli_swiffer_power_mop.shtml</a>	
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